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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/802,248	03/08/2001	Thomas E. Chefalas	YOR920000718US1 (14031)	5564

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EXAMINER

NELSON, FREDA ANN

ART UNIT

PAPER NUMBER

3639

DATE MAILED: 06/16/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/802,248

Applicant(s)

CHEFALAS ET AL.

Examiner

Freda A. Nelson

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on 17 May 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,3-5,10-14,16-19 and 22-36 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,3-5,10-14,16-19 and 22-36 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

The amendment received on May 17, 2006 is acknowledged and entered. Claims 1, 19, 31 and 33 have been amended. Claims 2, 6-9, 15, and 20-21 have been canceled. No claims have been added. Claims 12-14 and 16-18 have been withdrawn. Claims 1, 3-5, 10-14, 16-19, and 22-36 are currently pending.

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on May 17, 2006 has been entered.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

1. Claims 1, 19, 31, and 33 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably

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convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The examiner is unable to locate in the specification where “completing at the time the customer purchases said one or more products a product registration of said one or more products when the customer verifies and updates said product registration information and said customer information, thereby completing the purchase and registration in one transaction”. The specification (see abstract; paragraphs [0011],[0026]) recites that the invention “automatically transmits information recorded at the time of purchase respectively to one or more manufacturers for subsequent completion of the registration of the one or more products”. The examiner is unable to locate where the customer completes the purchase and registration in one transaction.

Claim Rejections - 35 USC § 102

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

2. Claims 1, 3-5, 10, 19, 22-25, 27-28, 31, and 33-36 are rejected under 35 U.S.C. 102(e) as being anticipated by Flickinger et al. (US PG Pub. 2001/0025245).

As for claims 1, 10, 19, and 27-28, Flickinger et al. discloses a computer-implemented method for automating product registration comprising:

receiving at a time a customer purchases one or products from a seller, and via a communication network, product registration information and associated customer information at a server associated with a manufacturer (Paragraphs [0006], [0019]) {a method for automatic registration of assets as a part of a purchasing transaction; and a

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method for electronic registration of assets in a registration database, using E-registrar which can be applied for both to online purchases and to conventional purchases}; wherein:

the product registration information is associated with said one or more products that are manufactured by the manufacturer, and purchased by the customer, from the seller (paragraph 0028., FIG. 3) {an example in which an asset created by a manufacturer (122) is purchased from the seller (120) using a credit card and the third party credit card company (140) provides the asset registration database (130)}; and

the customer information is associated with the customer and is acquired at a time the customer purchases said one or more products (paragraph [0006],[0021], [0023]) {the purchaser-specific data file, called an E-registrar, can be an electronic file on a storage media that is accessible to a purchaser's computer (e.g., integrated into the OS or web browser, smart card reader), or could be maintained by a third party (e.g., credit card company website where purchaser creates and updates his E-registrar file via the Internet; and the data on this electronic file can be extracted during the purchasing transaction (e.g., point-of-sale (POS), online) or can be extracted in a separate transaction initiated by the purchaser after the purchasing transaction}; and

generating at least one web page using said product registration information and said customer information transmitted to the server of said manufacturer at the time the customer purchases said one or more products to allow the customer to verify and update said product registration information and said customer information (paragraph [0005]) {a browser window is launched and the browser is redirected to a particular URL, or web server address and a data input form presented to the purchaser for completion and submission}; and

completing a product registration of said one or more products when the customer verifies and updates said product registration information and said customer information, thereby completing the purchase and registration in one transaction (paragraphs [0006], [0038]).

As for claims 3 and 22, Flickinger et al. disclose the method according to Claim 1, wherein said product registration information comprises a sales transaction record (paragraph [0008]) {a method for automatically registering an asset as part of a purchasing transaction for that asset}.

As for claim 4, Flickinger et a. disclose the method according to Claim 1, wherein the at least one web page is generated when said customer uses a computer to communicate with a website associated with said manufacturer (paragraph [0036]) {an example of how the E-registrar is provided to the vendor manufacturer is by a -drag and drop- E-registrar icon, or alternatively as a file or other unit which can be sent across the network to the manufacturer and the purchaser would send his personal E-registrar via his web browser or email, which would contain standard information needed for registering the asset, to the manufacturer}.

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As for claim 5, Flickinger et al. disclose the method according to Claim 1, wherein said customer information includes at least one of a name, address and telephone number of said customer (paragraph [0009])

{that the electronic file contains data specific to the purchasing entity, including such information as would typically be requested for registration of an asset (e.g., name, address, and marketing information) wherein the data in the E-registrar is extracted by the purchasing transaction application, the credit approval application, or another application activated by one of the parties to the transaction}.

As for claim 23 and 34, Flickinger et al. disclose the system according to claim 19, wherein said server associated with the seller utilizes a telephone number provide by said customer at said time of purchase to retrieve said customer information from a directory (paragraph [0009]; [0021]) {the electronic file contains data specific to the purchasing entity, including such information as would typically be requested for registration of an asset (e.g., name, address, and marketing information; the purchaser-specific data file, called an E-registrar, can be an electronic file on a storage media that is accessible to a purchaser's computer (e.g., integrated into the OS or web browser, smart card reader), or could be maintained by a third party (e.g., credit card company website where purchaser creates and updates his E-registrar file via the Internet; and the data on this electronic file can be extracted during the purchasing transaction (e.g., point-of-sale (POS), online) or can be extracted in a separate transaction initiated by the purchaser after the purchasing transaction}.

Flickinger does not disclose that utilizing a telephone number to retrieve customer information, however, it is old and well known in the business art that telephone numbers are used to retrieve customer information. It is old and well known that grocery stores and department stores use customer telephone numbers in lieu of smart cards to retrieve customer information in order to give customers discounts. Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Flickinger to include the feature telephone number feature as another means to retrieve customer information.

As for claims 24 and 35, Flickinger et al. disclose the system according to claim 19, wherein the seller manually enters said customer information at said time of purchase (paragraph [0005]) {in the case of some assets which are purchased at a retail outlet or on-line, the purchaser is asked to register the asset on-line via an Internet connection and in either case, the user must perform the product or warranty registration manually (i.e., fill out the card by hand and mail it or log onto the Internet and enter the requested information)}.

As for claim 25, Flickinger et al. disclose the system according to claim 19, wherein the server associated with the manufacturer allows the customer to verify said product registration information and said customer information by generating at least one web page when said customer uses a computer to communicate with a website associate with said manufacturer (paragraph [0036]) {the purchaser would send his

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personal E-registrar via his web browser or email, which would contain standard information needed for registering the asset, to the manufacturer wherein the manufacturer would extract the pertinent information from the E-Registrar and register the product, and the registration process would be accomplished; and the manufacture would provide verification of registration and other information (e.g. warranties) either on the spot through the browser or email, or subsequently through other means, such as mail or facsimile}.

As for claims 31 and 33, Flickinger et al. disclose a program storage device readable by a machine, tangibly embodying a program of instructions, executable by said machine to perform a method for automating product registration, the method comprising:

(a) acquiring customer information associated with a customer at a time of purchase of one or more products by said customer, from a seller (paragraph [0021]) {the purchaser-specific data file, called an E-registrar, can be an electronic file on a storage media that is accessible to a purchaser's computer (e.g., integrated into the OS or web browser, smart card reader), or could be maintained by a third party (e.g., credit card company website where purchaser creates and updates his E-registrar file via the Internet; and the data on this electronic file can be extracted during the purchasing transaction (e.g., point-of-sale (POS), online) or can be extracted in a separate transaction initiated by the purchaser after the purchasing transaction};

(b) associating product registration information with said one or more products; and

(c) transmitting, at the time of purchase of the one or more products, via a communication network, and from the seller to a server associated with a manufacturer of said one or more products, said product registration information and said customer information (paragraph [0021]) {the purchaser-specific data file, called an E-registrar, can be an electronic file on a storage media that is accessible to a purchaser's computer (e.g., integrated into the OS or web browser, smart card reader), or could be maintained by a third party (e.g., credit card company website where purchaser creates and updates his E-registrar file via the Internet; and the data on this electronic file can be extracted during the purchasing transaction (e.g., point-of-sale (POS), online) or can be extracted in a separate transaction initiated by the purchaser after the purchasing transaction}.

the server associated with the manufacturer generates at least one web page using said product registration information and said customer information transmitted thereto to allow the customer to verify and update said product registration information and said customer information (paragraph [0005]); and

the server associated with the manufacturer completes a product registration of said one or more products when the customer verifies and updates said product registration information and said customer information at the time of purchase of the one or more products (paragraph [0006],[0038]).

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As for claim 36, Flickinger et al. disclose the method of claim 1 wherein said updating includes registering said one or more products for the customer or for a new donee (paragraph [0038]) {the re-seller would provide the service of registering the purchased item with the manufacturer for and on behalf of the purchaser}.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 11, 29-30 and 32 are rejected under 35 U.S.C. 103(a) as being unpatentable over Flickinger et al. (US PG Pub. 2001/0025245) in view of Dovolis (US PG Pub. 2001/0034609).

As for claims 11, 29-30, and 32, Flickinger et al. do not disclose that the at least one web page allows said customer to indicate that the at least one product is a gift to a donee, said update including customer information relating to said donee.

Dovolis discloses a simple and effective method for registering warranty information at the point of purchase (paragraph [0013]); the system monitors the duration of the warranty period for each product so that a consumer may later visit the site to see when their warranty expires, to transfer warranties from one person to another if the product is given as a gift, and to view product instructions and other information available online for that particular product (paragraph [0013]); and the system provides a means for consumers to view their purchases on a consumer web page and to personalize their consumer page so as to categorize their products in any way that makes sense to them (paragraph [0013]). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Flickinger et al. to include the feature of Dovolis in order to allow warranties to be transferred to from one person to another as is done with automobiles and homes.

4. Claim 26 is rejected under 35 U.S.C. 103(a) as being unpatentable over Flickinger et al. in view of Byrd et al. (Patent Number 6,069,941).

As for claim 26, Flickinger et al. does not disclose that the server associated with the manufacturer comprises a voice response unit server. Byrd et al. disclose that as part of the prompt to the subscriber 12, the active one of the VRUs 24 and 25 or the PC server 26 also provides an option to allow the subscriber to register the product (col. 6, lines 25-27); and the information entered by the subscriber 12 in connection with product registration is typically stored in a transcription VRU 46 within the call platform 20 having the capability of recording the subscriber-entered registration information; and the registration information stored in the transcription VRU 46 may be accessed by a customer transcriber 50 (i.e., a computer maintained by the product manufacturer) that communicates with the call platform 20 by calling a pre-assigned POTS routed to the switch 16 (col.6, lines 35-46). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the invention of Flickinger et al. to include the feature of Byrd et al. in order to allow consumers to an use alternative option to contact the manufacturer's server.

Response to Amendment and Arguments

Applicant's arguments filed May 17, 2006 have been fully considered but they are not persuasive.

In response to applicant's arguments that support for the claim amendments can be found at least on page 12, line 18 to page 13, line 2 of the originally file specification, the examiner respectfully disagrees. It is noted that page 12, line 18 to page 13, line 2 discloses that a donees registration remains pending until the donee logs in and completes registration.

In response to applicant's arguments that there is no mention of verifying, or a verification feature anywhere in Flickinger, the examiner respectfully disagrees. Flickinger et al. disclose that "the re-seller would provide the service of registering the purchased item with the manufacturer for and on behalf of the purchaser. A registration verification or certificate (electronic or otherwise) could be provided to the purchaser confirming registration, warranty and other information" (see paragraph [0038]).

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Flickinger still further disclose that and "the purchaser has control over their data, and the e-registrar function allows for updates and changes to the individual's e-registrar.

This would allow for the changes both to the data file as well as the registration database (e.g. universal change of address notification)(paragraph [0021]).

Conclusion

5. The examiner has cited prior art of interest, for example:

1) Klingman (US Patent Number 5,799,285), which discloses a secure system for electronic selling.

2) Golden et al. (US Patent Number 5,761,648), which disclose an interactive marketing network and process using electronic certificates.

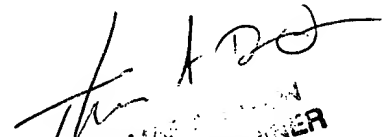
6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Freda A. Nelson whose telephone number is (571) 272-7076. The examiner can normally be reached on Monday - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Hayes can be reached on 571-272-6708. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

FAN 06/12/2006



THOMAS J. NELSON
PRIMARY EXAMINER